- mosk							
	,		Application Number	09	09/539,918		
TRANS	MITTAL		Filing Date	Ma	arch 31, 2000		
be used for all corre	espondence after	initial	First Named Inventor	Ja	mes M. Florence		
filir	ng)		Group Art Unit	28	371		
			Examiner Name	Jea	anne A. Di Grazio		
Total Number of Pages ir Submission	n this .		Attorney Docket Number	KL	R:7146.0063		
		ENCL	OSURES (check all that a	oply)			
	orm		ignment Papers (for an cation)		☐ After Allowance Communication to Group		
☐ Amendment ☐ After Final/ Re	sponse	☐ Dra	wing(s)		Appeal Communication to Boar of Appeals and Interferences		
☐ Affidavits/De	eclaration(s)	Lice	ensing Related Papers		Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief)		
☐ Extension of Time	Request	1	ition Routing Slip (PTO/S Accompanying Petition	B/69)	☐ Proprietary Information		
			tition to Convert to a Prov lication	☐ Status Letter			
l l			wer of Attorney, Revocati e of Correspondence Add	Additional Enclosures (identify below)			
☐ Certified copy of Priority ☐ Documents			minal Disclaimer	Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment			
Response to Missi Incomplete Applica	-	☐ Sm	all Entity Statement	Postcard,			
Response to Missi	_		Request for Refund				
сте сор			Remarks: Commissioner is authorized to charge any additional fee, of credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.				
	SIGNAT	URE OF	RE OF APPLICANT, ATTORNEY OR AGENT				
Firm or Individual Nam	ne	Kevin L.	vin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP				
Signature		(
Date	· .	Janı	January 262004				
		CE	RTIFICATE OF MAILING	;			
I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE), Commissioner for Patents, Post Office Box 1450, Alexandria VA 22313-1450. Mail Stop Patent Applications (IDS-FEE), Commissioner for Patents, Post Office Box 1450, Alexandria VA 22313-1450. Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed and in the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE) are the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the united States Postal Service in an envelope addressed to the un							
Type or print name	Kevin L. Russel				<u> </u>		
Signature	21			Date	January 26 2004		

Application Number 09/539,918 TRANSMITTAL March 31, 2000 Filing Date **FORM** James M. Florence **First Named** Inventor be used for all correspondence after initial filing) Group Art Unit 2871 Jeanne A. Di Grazio **Examiner Name** Total Number of Pages in this Attorney Docket KLR:7146.0063 Submission Number ENCLOSURES (check all that apply) Assignment Papers (for an ☐ After Allowance Communication application) to Group ☐ Amendment ☐ Drawing(s) ☐ Appeal Communication to Board of Appeals and Interferences ☐ After Final/ Response ☐ Affidavits/Declaration(s) Licensing Related Papers ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ Petition Routing Slip (PTO/SB/69) □ Proprietary Information ☐ Extension of Time Request and Accompanying Petition ☐ Express Abandonment Request ☐ Petition to Convert to a Provisional ☐ Status Letter Application ☑ Information Disclosure Statement ☐ Power of Attorney, Revocation, Additional Enclosures Change of Correspondence Address (identify below) Form 1449 with listed prior art; a ☐ Certified copy of Priority ☐ Terminal Disclaimer duplicate copy of this Transmittal **Documents** Letter; and an acknowledgment ☐ Response to Missing Parts/ ☐ Small Entity Statement Postcard, Incomplete Application ☐ Request for Refund ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53 Remarks: Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.

	I			
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT				
Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP			
Signature				
Date	January 62004			
	CERTIFICATE OF MAILING			
	, this correspondence is being deposited with the United States Postal Service in an ent Applications (IDS-FEE), Commissioner for Patents, Post Office Box 1450, Alexandria as first class mail			

Date

January 2004

Kevin L. Russell

Type or print name

Signature

as "Express Mail Post Office to Addressee" - mailing label no.

EE TRANSMITTA]					(Complete If Know	vn	
EE TRANSMITTA	_	7	Applica	tion N	umber		09/539,918		
for FY 2004		F	iling C	ate			March 31, 2		
Patent fees are subject to annual revision.		-			nventor		James M. F		
, <u></u>		F	Examin				Jeanne A. C		
Applicant plains and patity status Sec 270FD 4.2		-			-			JI GIAZIO	
Applicant claims small entity status. See 37CFR 1.2	/	<u></u>	Art Unit				2871		
TOTAL AMOUNT OF PAYMENT \$180			Attorne	y Doci	ket No.		KLR: 7146.00	63	
METHOD OF PAYMENT (check all that apply)						E CALC	ULATION (con	tinued)	
☐ Check ☐ Credit ☐ Money ☐ Other ☐ None	_		DITION		Entity				
	Fe			Smail Fee	Fee	•	F D		E B
Deposit Account Deposit Account		de		Code	(\$)		Fee Desc	ription	Fee Paid
Number 03-1550	10:	51	130	2051	65	Surcharge	e - late filing fee	or oath	
Deposit Account Name Chernoff Vilhauer McClung & Sten	zel 10)52	50	2052	25	Surcharge sheet	e-late provisional	filing fee or cover	
The Commissioner is authorized to:(check all that apply)	10)53	130	1053	130	Non-Engl	ish specification		
Charge fees indicated below Credit any overpayments duplicate of this letter is included with this authorization	A 18	312	2,520	1812	2,520	For filing	a request for ex-p	parte reexamination	
Charge any additional fee(s) during the pendency of this		304	920*	1804	920*	Requestir	ng publication of	SIR prior to Examiner	
application						action			
Charge any fee(s) indicated below, except for the filing fee to above-identified deposit account.	the 18	305 1	1,840*	1805	1,840*	Requestir action	ng publication of	SIR after Examiner	
above-ruentined deposit account.	12	251	110	2251	55	Extension	for reply within f	irst month	
FEE CALCULATION	12	252	410	2252	205	Extension	for reply within s	second month	
1. BASIC FILING FEE	1	253		2253			for reply within t		
Large Entity Small Entity Fee Description Fee Paid	1		1,450				for reply within f		
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	-, I	255 101	1,970	2401		Notice of	i for reply within t	irth month	\vdash
1002 330 2002 165 Design filing fee	1	102		2402			rief in support of	an appeal	+
1003 520 2003 260 Plant filing fee	- I	103		2403		_	for oral hearing		
1004 750 2004 375 Reissue filing fee	1 14	151	1,510	1451	1,510	Petition to	o institute a publi	c use proceeding	
1005 160 2005 80 Provisional filing fee 8	14	52	110	2452	55	Petition to	o revive - unavoi	dable	
SUBTOTAL (1) \$8			1,300		650	Petition to	o revive - uninter	ntional	
O EVER ALL ALL SEED FOR HELITA AND DELOCATE	─		1,300			-	ue fee (or reissu	e)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Feé from		502		2502		Design is			<u> </u>
Extra Claims below Fee Paid	<u> </u>	503		2503		Plant issu			
	- 1	160 307		1460 1807			to the Commission for the commission of the comm		
Indep. Claims - 3 **= 00x 42=	⊣ I	807 806		1806			ng fee under 37 (on of Information	Disclosure Stmt.	\$180.
Large Entity Small Entity Fee Description	_	21		8021				signment per property	
Fee Fee Fee Fee						•		total assignments 1	-
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	18	809	750	2809	375	-	ubmission after f R. 1.129(a))	inal rejection	
1201 84 2201 42 Independent claims in excess of 3	18	310	750	2810	375	For each	additional invent	tion to be examined	
1203 280 2203 140 Multiple dependent claim, if not paid	18	301	750	2801	375	(37 CFR Request 1		amination (RCE)	-
1204 84 2204 42 **Reissue independent claims over		302		1802				amination of a design	
original patent						applicatio	n		
1205 18 2205 9 *Reissue claims in excess of 20 and original patent	Ot	ther f	fee (sp	ecify)	-	·····			
SUBTOTAL (2)	<u>기</u> R	educ	ed by B	asic Fil	ing Fee	Paid	SUBTOTA	L (3)	\$180
**or number of previously paid, if greater. For reissues, see above.									
	SUBMITTED BY Complete (if applicable)								
SUBMITTED BY	1_								004
	Re	egisti	ration	No.	38,2	292	Telephone Date	(503) 227-5	631

FEE TRANSMITTAL		1			Complete If Know	Complete if Known		
RE Y		Application Number			09/539,918	09/539,918		
🥎 for FY 2004		Filing C	ate		March 31, 2	March 31, 2000		
29 1004 gatent fees are subject to annual revision.		First Na	med	Inventor	James M. F	James M. Florence		
*		Examir	er Na	me	Jeanne A. D	i Grazio		
Appleant claims small entity status. See 37CFR 1.27		Art Uni			2871			
Apple ant claims small entity status. See 37CFR 1.27		Attorne		ket No.	KLR: 7146.006	33		
	_							
METHOD OF PAYMENT (check all that apply)	2 45	DITION	IAI F		CALCULATION (con	tinued)		
☑ Check ☐ Credit ☐ Money ☐ Other ☐ None		DITION Entity						
Deposit Account	Fee	Fee	Fee	Fee	Fee Desci	ription	Fee Paid	
Deposit Account 03 1550	Code 1051	*	Code			•		
Denosit Assount			2051	_	urcharge - late filing fee o			
Deposit Account Name Chernoff Vilhauer McClung & Stenzel	1052	50	2052		urcharge-late provisional neet	filing fee or cover		
The Commissioner is authorized to:(check all that apply)	1053	130	1053	130 N	on-English specification			
Charge fees indicated below Credit any overpayments A duplicate of this letter is included with this authorization	1812	2,520	1812	2,520 F	or filing a request for ex-p	parte reexamination		
Charge any additional fee(s) during the pendency of this	1804	920*	1804	920* R	equesting publication of s	SIR prior to Examiner		
application	1805	1,840*	1805		ction equesting publication of \$	SIR after Evaminer	\vdash	
Charge any fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1003	1,040	1003		ction	Silv after Examine		
	1251		2251		xtension for reply within f			
FEE CALCULATION	1252		2252		xtension for reply within s			
1. BASIC FILING FEE	1253		2253		xtension for reply within t			
Large Entity Small Entity Fee Description Fee Paid	1254	1,450		_	xtension for reply within f			
Code (\$) Code (\$) .	1255	1,970			xtension for reply within f	ifth month		
1001 750 2001 375 Utility filing fee	1401		2401		otice of Appeal			
1002 330 2002 165 Design filing fee	1402		2402		iling a brief in support of	an appeal		
1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee	1403		2403		equest for oral hearing	nranaadiaa		
1004 750 2004 375 Reissue filing fee	1451	1,510	2452	· ·	etition to institute a publi etition to revive - unavoid		\vdash	
SUBTOTAL (1) \$80	1453	1,300			etition to revive - uninten			
30B101AE(1) [300]	1501	1,300			tility issue fee (or reissue		\vdash	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	-	2502		esign issue fee	•1	\vdash	
Fee from	1503		2503		lant issue fee		\vdash	
Extra Claims below Fee Paid	1						\vdash	
	1460		1460		etitions to the Commission			
Indep. Claims 3 **=0x	1807 1806		1807 1806		ubmission of Information	,	\$180.	
Large Entity Small Entity Fee Description	8021		8021		ecording each patent as			
Fee Fee Fee	0021	40	0021		imes no. of properties) -			
Code (\$) Code (\$)	1809	750	2809		iling a submission after fi	inal rejection		
1202 18 2202 9 Claims in excess of 20	1910	750	2810		37 C.F.R. 1.129(a)) or each additional invent	ion to be evamined	-	
1201 84 2201 42 Independent claims in excess of 3	1810			(3	37 CFR 1.129(b))			
1203 280 2203 140 Multiple dependent claim, if not paid	1801		2801		equest for Continued Ex	, ,		
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802		equest for expedited exa pplication	mination of a design		
1205 18 2205 9 *Reissue claims in excess of 20 and over original patent	Othe	r fee (sp	ecify)	,				
SUBTOTAL (2) \$0				ling Fee Pa	aid SUBTOTAI	L (3)	\$180	
**or number of previously paid, if greater. For reissues, see above.	<u> </u>							
SUBMITTED BY					Cor	mplete (if applicable)		
Name (print type) Kevin L. Russell	Regis	stration	No.	38,29		(503) 227-5	631	
Signature				· · ·	Date	· · · · · · · · · · · · · · · · · · ·		
lu					Jan 282004			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION EXAMINING OPERATIONS

Applicant

: James M. Florence

Group Art Unit:

2871

Serial No.

: 09/539,918

Examiner

Jeanne A. Di Grazio

Filed

: March 31, 2000

Title

: PROJECTION DISPLAY SYSTEMS FOR LIGHT VALVES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157 January 26, 2004

Mail Stop Patent Applications (IDS-FEE) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith copies of publications of which he is aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Supplemental Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits of the above-identified application. Accordingly we enclose the required fee of \$180.

01/30/2004 JBALINAN 00000069 09539918

01 FC:1806

180.00 OP

In accordance with 37 CFR §1.97(h), the filing of this Supplemental Information

Disclosure Statement will not be regarded as an admission that any patent or publication or

combination of patents referred to herein is, or is considered to be, material to patentability under

37 CFR §1.56(b) unless specifically designated as such.

Enclosed herewith is PTO-1449 Form listing the enclosed references. Applicant would respectfully request that the Examiner initial this form, where indicated, and return an acknowledgment copy to the applicant to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell Reg. No. 38,292

Attorney for Applicant

Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on January 26, 2004.

Dated: January 26, 2004

Kevin L. Russell

LIST OF PATENTS AND PUBLICATIONS FOR	1	
APPLICANT'S INFORMATION DISCLOSURE STATEMENT PE (Heavened shorts if recovery)	APPLICANT James M. Florence	
(Use several sheets if necessary)	FILING DATE March 31, 2000	GROUP 2871
REFER U.S. PA	ENCE DESIGNATION	
& TEAGER REFER U.S. PA	TENT DOCUMENTS	

U.S.	PATEN	T DOC	UMENT	S
				=

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
·	AF						
		•					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRAN	SLATION
<u> </u>				_			YES	NO
	BA	450432	15-12-17	Japan				
	ВВ	Publication No. 03- 046692	Published 27-02- 1991	Japan				
	ВС	Publication No. 03- 202846	Published 04-09- 1991	Japan				
	BD	Publication No. 07- 218909	Published 18-08- 1995	Japan				
	BE	Publication No. 10- 186548	Published 14-07- 1998	Japan				
	BF	Publication No. 11- 271893	Published 08-10- 1999	Japan				
	BG	Publication No. 11- 305189	Published 05-11- 1999	Japan				
,	ВН	Publication No. 11- 326861	Published 26-11- 1999	Japan				
	ВІ	Publication No. 2000- 019326	Published 21-01- 2000	Japan				
	ВЈ	Publication No. 2000- 019455	Published 21-01- 2000	Japan				

	вк	Publication No. 2000- 147656	Published 26-05- 2000	Japan				
1 P	BL	Publication No. 2000- 180792	Published 30-06- 2000	Japan				
	вм с 2004	Publication No. 2000- 267046	Published 29-09- 2000	Japan				
JAN 2 9	ن ا	ij						
ENT & TEAR	FMARKO			OTHER ART				
	СА							
	СВ							
	СС							
	CD							
	CE							
	CF							
	CG							
	СН							
	CI							
	CJ							
	CK			·				
	CL							
					-		·	
					-			
					776			
		·						
	-							
Examiner Signature				Date Considered				

Examiner	Date	
Signature	Considered	

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.

Q:\Bonnie Dillon\KLR\SHARP\Patents\0063\1449 Disclosure Form.wpd; January 26, 2004 (3:11PM)